

## OPTIMIZATION OF OIL EXTRACTION FROM *GARCINIA KOLA* USING ARTIFICIAL NEURAL NETWORK AND RESPONSE SURFACE METHODOLOGY

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**Abstract:** The target of this investigation was to model and optimize selected process parameters when extracting oil from *Garcinia kola*. Artificial neural network (ANN) and Box-Behnken design (BBD) in response surface methodology (RSM) were used for the modelling and optimization of the process parameters. The optimized process values were 397.86 mL and 399.99 mL for solvent volume; 109.32 min and 107.55 min for extraction time; 72.64 g and 70 g for sample mass and maximum yields of 20.839 wt% and 20.488 wt% for RSM and ANN respectively. The highly positively correlated experimental and anticipated values validated the models.

**Keywords:** *Garcinia kola*, oil extraction, optimization, modeling, RSM, ANN

### 1. INTRODUCTION

Over the years, plants have been of great benefit to humankind especially because of the many health benefits of the chemicals found in them [1]. Plants are made up of various biologically active chemicals called phytochemicals. These phytochemicals are produced through the process of primary and secondary metabolism. However, because the health benefits of some of these phytochemicals have not been scientifically proven, they are regarded as research compounds rather than essential nutrients.

Different parts of the plants have been claimed to have been used over the years for the treatment of flu and its associated illnesses [2]. *Garcinia kola* is traditionally used as a medication because of the various bioactive components such as tannins, flavonoids and alkaloids which are believed to have anti-parasitic, purgative, and anti-microbial properties [3], and it is also being investigated for possible hop substitution in beer production [4]. Consumption and use of bitter kola in Nigeria are low due to inadequate information on the physicochemical and nutritive properties [5].

Various extraction methods commonly used for the extraction of bioactive components include regular traditional methods. During the last three decades, the focus has shifted from the traditional to the use of other techniques such as ultrasound-assisted solvent extraction and the conventional solvent extraction [6].

The yield of oil from *Garcinia kola* obtained from solvent extraction processes is greatly affected by the type of solvent used, the mass of solid, particle size of the seed, volume of solvent, extraction time, pressure and temperature [7]. However, there is a need to employ statistical design of experiments which will clearly show the interaction between the process parameters. From the design, it is shown whether a parameter has any vital impact on the target or not, while also showing how a parameter changes in value as the values of other parameters change [8-13]. Thus, the extraction of oil can be improved by optimizing these parameters to give optimum yield. This

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can be achieved by the design of experiments for Artificial Neural Network (ANN) together with Response Surface Methodology (RSM).

Although several studies have been carried out on the extraction of oil from *Garcinia kola*, there is however a dearth of information on the optimum conditions for the extraction of the oil. The present study was therefore undertaken to model the yield of oil from *Garcinia kola* via Soxhlet extraction, considering the interaction of mass of *Garcinia kola*, extraction time and volume of extraction solvent as process parameters, using RSM and ANN, in order to determine the optimal extraction conditions.

## 2. METHODOLOGY

### 2.1. Feedstock assemblage, preparation and pretreatment

Fresh *Garcinia kola* seeds were procured from a vendor in Edo State, Nigeria. The clean seeds were cut manually into smaller pieces to facilitate the drying process. The *Garcinia kola* seeds were dried continuously under the sun for three weeks and thereafter dehulled. The dehulled dried samples were then milled and sieved to the particle size of 250  $\mu\text{m}$  or less to increase the surface area to facilitate extraction. The sample was stored under dry conditions before use.

### 2.2. Extraction and characterization of oil

Soxhlet apparatus was used in the extraction of the oil from the prepared sample using ethanol as solvent. The extraction was carried out at 70  $^{\circ}\text{C}$ , using different volumes of solvent and amounts of ground *Garcinia kola*, at different extraction times according to the design of experiments.

*Garcinia kola* oil yield was calculated using equation (1):

$$\text{Oil yield (\%)} = \frac{\text{Mass of oil}}{\text{Mass of sample}} * 100 \quad (1)$$

The extracted oil was characterized in terms of its physicochemical properties. The colour of the oil obtained was noted by visual inspection, specific gravity was determined using a pycnometer, while the peroxide value was determined using the AOAC International methods of analysis [14].

### 2.3. Experimental design

Box-Behnken experimental design was generated for the selected three factors, using three levels with the Design Expert 7.0.0 (Stat-ease, Inc. Minneapolis, USA). Table 1 shows the levels (high, low and centre points) of the independent process parameters. The values of the levels were chosen based on results from preliminary experiments. The coded values are related to the actual values by the relation given in equation (2):

$$X_i = \frac{X_i - X_0}{\Delta X} \quad (2)$$

Table 1. Coded and actual levels of the factors for three factors Box-Behnken design.

Variables	Symbol	Variation levels		
		-1	0	+1
Mass of ground <i>Garcinia kola</i> (g)	X <sub>1</sub>	50	65	80
Extraction time (min)	X <sub>2</sub>	60	90	120
Volume of extraction solvent (mL)	X <sub>3</sub>	300	350	400

### 2.4. Process modeling and optimization

#### 2.4.1. Response surface methodology

The following generalized polynomial equation for the second order was utilized to evaluate how the dependent factor is affected by the values of the independent factors:

$$Y = b_0 + \sum b_i X_i + \sum b_{ii} X_i^2 + \sum \sum b_{ij} X_i X_j + E \quad (3)$$

where  $Y$  is the response as vaticinated,  $X_i$  and  $X_j$  are the self-independent process parameters,  $b_o$  is counterbalancing term,  $b_i$  and  $b_{ij}$  are the regression coefficients respectively for all possible effects and  $E$  is a term that accounts for any mistakes.

The model equation (3) was used to determine all possible singular and combined effects on the oil yield. For the model, the linear and cross regression calculations were performed. A calculation was made of the  $R^2$  value, the associated errors derived from the replication measurements and the lack of fit. ANOVA was utilized to estimate the measurable attributes of the model fitting.

#### 2.4.2. ANN

NeuralPower Professional Version 2.5, a commercial ANN software, was deployed for modelling and was also used to determine the optimal condition. Also used in the design of ANN were the same data obtained from the laboratory work that was initially used for a design using RSM. The input variables were mass of *Garcinia kola*, extraction time and volume of extraction solvent with *Garcinia kola* oil yield as the target.

Two neural grid frameworks and topology of the ANN were chosen, tested and utilized to predict the yield of *Garcinia kola* oil. The experimental data were trained with the five carefully selected algorithms in ANN platform. The selected model was the one with the least RMSE value and highest  $R^2$  value.

The ANN framework comprised a three-neuron entry stratum, one result stratum through only one neuron, and one concealed stratum. The optimum grid topology was calculated using only one concealed stratum while the variety of neurons in this stratum and the system function of the concealed and output strata were determined iteratively by the development of different grids. Each ANN was trained using 100,000 iterations of default stop criteria. Two data sets were created from the experimental data on the Box-Behnken outline: the data sets for coaching and the other for checking. The first consisting of thirteen was used for coaching while the second set consisting of four used for checking.

### 3. RESULTS AND DISCUSSION

#### 3.1. Physicochemical properties of the oil

Table 2 gives a summary of the physicochemical properties of the oil extracted from *Garcinia kola* seed.

Table 2. Physicochemical properties of *Garcinia kola* seed oil.

Property	Observation/value
Colour	Light brown
Specific gravity	0.905
Peroxide value (meq/kg oil)	9.25

The color of the extracted oil is light-brown as shown in Table 2. The specific gravity of the extracted oil is 0.905, indicating that it is lighter than water. Peroxide value gives a measure of the extent to which an oil sample has undergone primary oxidation. With a peroxide value of 9.25 meq/kg, the extracted oil will have a good shelf life. The peroxide value of 9.25 meq/kg is within the maximum permissible limit of 15 meq/kg for virgin oils set by Codex standards for fats and oils from vegetable sources.

#### 3.2. Modeling using response surface methodology

Different models were systematically examined to determine the model which most appropriately relates the response to the input process parameters. Table 3 gives a summary of the statistics of the model.

Table 3. Summary of statistics for model.

Source	Std. Dev	$R^2$	Adjusted $R^2$	Predicted $R^2$	PRESS	
Linear	1.35	0.4800	0.3600	0.0952	41.32	
2FI	1.25	0.6572	0.4515	0.0026	45.55	
Quadratic	0.25	0.9901	0.9774	0.8662	6.11	Suggested
Cubic	0.14	0.9683	0.9632			Aliased

Note: Std Dev, standard deviation;  $R^2$ , squared correlation factor; PRESS, prediction error sum of squares

From Table 3, it was observed that the quadratic model has very high  $R^2$  and adjusted  $R^2$  values of 0.9901 and 0.9774 respectively. It was therefore concluded that the quadratic model best relates the response to the independent process parameters, as it was the model suggested by the software.

Analysis of the regression was performed to fit data of the oil yield. The model developed represents *Garcinia kola* oil yield (Y) and how it relates to the volume of the solvent ( $X_3$ ), extraction time ( $X_2$ ) and sample mass ( $X_1$ ). The quadratic statistical model obtained after analysis via applying multiple regression to the data obtained from bench work is given in Equation (4).

$$Yield = 19.27 + 1.47X_1 + 0.71X_2 - 0.30X_3 + 0.27X_1X_2 + 1.20X_1X_3 + 0.72X_2X_3 - 0.42X_1^2 - 0.77X_2^2 - 1.61X_3^2 \quad (4)$$

The equation helped to determine the influence of individual variables on oil yield. Positive coefficient values indicate the positive factor interactions and a positive impact on *Garcinia kola* oil yield whereas the negative coefficients indicate to the adverse and interfering effect of the parameters on the overall yield. From the equation, it can be seen that the solvent volume ( $X_3$ ) and extraction time ( $X_2$ ) have a positive impact on the response while sample mass ( $X_1$ ) has a detrimental effect on the yield whereas the interaction between all factors; solvent volume ( $X_3$ ) and extraction time ( $X_2$ ), solvent volume ( $X_3$ ) and sample mass ( $X_1$ ) and extraction time ( $X_2$ ) and sample mass ( $X_1$ ) all generally increase the yield when their values increase.

To test if the second-order polynomial has a significant fit in predicting the yield of *Garcinia kola* oil, an analysis of variance (ANOVA) was carried out, with the outcomes shown in Table 4 and Table 5.

Table 4. Analysis of variance for response surface quadratic model.

Source	Sum of Squares	Df	Mean squared	F- values	p-value	
Model	45.22	9	5.02	77.85	< 0.0001	Significant
$X_1$	17.19	1	17.19	266.40	< 0.0001	
$X_2$	4.00	1	4.00	61.98	0.0001	
$X_3$	0.73	1	0.73	11.30	0.0121	
$X_1X_2$	0.29	1	0.29	4.46	0.0726	
$X_1X_3$	5.71	1	5.71	88.54	< 0.0001	
$X_2X_3$	2.09	1	2.09	32.40	0.0007	
$X_1^2$	0.73	1	0.73	11.24	0.0122	
$X_2^2$	2.50	1	2.50	38.72	0.0004	
$X_3^2$	10.85	1	10.85	168.13	< 0.0001	
Residual	0.45	7	0.065			
Lack of Fit	0.37	3	0.12	6.45	0.0518	not significant
Pure Error	0.077	4	0.019			
Cor Total	45.67	16				

Note: Df, degree of freedom

Table 5. Statistical information of ANOVA.

Parameters	Values
$R^2$	0.9901
Adjusted $R^2$	0.9774
Predicted $R^2$	0.8662
Adequate Precision	32.023
Standard deviation	0.25
Mean	17.95
C.V. %	1.42
PRESS	6.11

Table 4 suggested that there was an appropriate description of the relationship between response and the process parameters whose values were varied. The model was considered statistically significant since it has a p-value of less than 0.05 and a high F-value of 77.85 [15]. From Table 4, it was observed that it was only the term  $X_1X_2$ , that had an insignificant effect as it has a p-value greater than 0.05.

With an  $R^2$  value of 0.9901 (Table 5), this is a clear indication that this model satisfactorily represents the mathematical relations describing how changes in values of the process parameters (volume of solvent, extraction time and sample mass) affect the response (*Garcinia kola* oil yield). The  $R^2$  value indicates 99.01 % variability was explained by the model, and it could not explain only 0.99 %. Coefficient of variance (CV) is a ratio of the standard error of the estimates to the average value. A low value of CV indicates high reliability and precision of the experiment. It is considered reproducible once it is not greater than 10% [9, 16]. The value of the CV realized in this work was 1.42 %. The indicated quotient of the signal to the noise is measured by adequate precision. It is generally desirable to have an adequate precision value greater than 4 [17]. The obtained adequate precision value was 32.023, and this is indicative of a sufficient signal.

### 3.3. Modeling using ANN

The  $R^2$  and root mean square error (RMSE) obtained for the multilayer normal feed forward (MNFF) and multilayer full feed forward (MFFF) using back propagation (BP), batch-back propagation (BBP), quickprob (QP), genetic algorithm (GA) and Levenberg-Marquadt (LM) training pathways to predict which model is most appropriate are given in Table 6.

Table 6.  $R^2$  and RMSE values of MNFF and MFFF using different training algorithms.

Learning Algorithm	MNFF		MFFF	
	$R^2$	RMSE	$R^2$	RMSE
IBP*	0.9956	0.1658	0.9992*	0.0696*
BBP	0.9989	0.0786	0.9989	0.0786
QP	0.9991	0.0729	0.9991	0.0723
GA	0.9989	0.0799	0.9972	0.1291
LM	0.3467	1.6972	0.7050	1.5859

\*preferred.

From Table 6, IBP was the best training algorithm to predict the *Garcinia kola* oil yield. Iterations on different transfer functions were also carried out to establish the optimal network topology. The optimal number of neurons in the network was also determined using the best transfer function. The Hyperbolic-Tangent transfer function gave the highest  $R^2$  and the least RMSE values for both the hidden and output layer compared to other transfer functions. The network was chosen because it gave the least RMSE value of 0.0696 and an  $R^2$  value of 0.9992 which is closest to 1. The best ANN model obtained in this present study was the MFFF Incremental Back Propagation (IBP) grid with Hyperbolic-Tangent as the system function for both the concealed and output strata. This network topology was further used to forecast the outcome at varying conditions.

Table 7 gives the  $R^2$  values for MFFF and MNFF at learning neurons of one to 4. It is seen that the highest  $R^2$  value was 0.9992, and this value was obtained at the neuron of 3 for MFFF and neuron of 4 for both MNFF and MFFF. The best ANN model obtained in this present study was the MFFF, with Hyperbolic-Tangent as the transfer function for both the hidden and output layers since it gave this  $R^2$  value at a neuron of 3. This network topology was used for further studies to predict the yield of *Garcinia kola* oil.

Table 7.  $R^2$  value and the corresponding number of MNFF and MFFF neurons using Hyperbolic-Tangent function.

Learning Neuron	MNFF $R^2$	MFFF $R^2$
1	0.8460	0.9776
2	0.9929	0.9879
3	0.9956	0.9992
4	0.9992	0.9992

Table 8 shows the systematic experimental design arrangement and the actual and anticipated yields by RSM and ANN.

Table 8. Design pattern for percentage yield of *Garcinia kola* seed oil and yields (Experimental and Predicted).

Run No	Factors						Outcome		
	Coded values			Actual values			% yield of <i>garcinia kola</i> oil		
	X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	Experimental	RSM	ANN
1	0	1	-1	350	120	50	17.341	17.18	17.341
2	1	1	0	400	120	65	20.294	20.52	20.294
3	-1	-1	0	300	60	65	16.402	16.17	16.402
4	0	0	0	350	90	65	19.019	19.27	19.265
5	0	0	0	350	90	65	19.341	19.27	19.265
6	0	-1	1	350	60	80	14.992	15.16	14.992
7	1	-1	0	400	60	65	18.445	18.57	18.445
8	1	0	-1	400	90	50	17.879	17.82	17.879
9	0	0	0	350	90	65	19.352	19.27	19.265
10	-1	1	0	300	120	65	17.178	17.05	17.178
11	0	0	0	350	90	65	19.312	19.27	19.265
12	0	-1	-1	350	60	50	17.271	17.21	17.271
13	0	1	1	350	120	80	17.954	18.02	17.954
14	0	0	0	350	90	65	19.302	19.27	19.265
15	1	0	1	400	90	80	19.895	19.60	19.895
16	-1	0	1	300	90	80	14.220	14.28	14.220
17	-1	0	-1	300	90	50	16.985	17.28	16.985
							RMSE	0.1641	0.0675

Table 8 gives the experimental design matrix using Box-Behnken, the experimental yields of *Garcinia kola* oil and the predicted yields by RSM and ANN. Comparing the predicted yields from the two simulation tools with the actual yield obtained from the experiments showed very little deviations. This small deviation between experimental yields and predicted yields is indicative of the goodness of predictive models.

The model performance indicator chosen was RMSE. The predicted data, when compared to the experimental data, gave RMSE values for the predictive empirical models as shown in Table 8. The values of RMSE calculated (Table 8) show that the empirical models derived from the experimental data were able to predict the yields of oil from *Garcinia kola* quite well. The two models produced excellent predictions as indicated by their low RMSE values of 0.1641 and 0.0675 for RSM and ANN respectively. It was noted however that ANN with an RMSE value of 0.0675 gave a better predictive model.

### 3.4. Comparison of the prediction by RSM and ANN

The predicted *Garcinia kola* oil yield by RSM and ANN were compared using the absolute percentage error for each run as shown in Table 9.

The predicted outcomes by the RSM and ANN models were carefully compared from one run to the next using absolute values of the % error. It was observed that the absolute values of the percentage errors of the outcomes predicted by ANN were generally less than those predicted by RSM, except for runs 5, 9, 11 and 14. Furthermore, the average absolute value of the percentage error of 0.151 for the predicted outcomes by ANN is lower than the average absolute value of the percentage error of 0.776 for the predicted outcomes by RSM. This is a clear indication that ANN gave a better model for the prediction of yield of oil from *Garcinia kola*.

Table 9. Comparison of predicted outcomes by RSM and ANN.

Run No.	Outcomes			% Error (Absolute)	
	% yield of <i>garcinia kola</i> oil			RSM	ANN
	Experimental	RSM	ANN	RSM	ANN
1	17.341	17.18	17.341	0.928	0.000
2	20.294	20.52	20.294	1.114	0.000
3	16.402	16.17	16.402	1.414	0.000
4	19.019	19.27	19.265	1.320	1.293
5	19.341	19.27	19.265	0.367	0.393
6	14.992	15.16	14.992	1.121	0.000
7	18.445	18.57	18.445	0.678	0.000
8	17.879	17.82	17.879	0.330	0.000
9	19.352	19.27	19.265	0.424	0.450
10	17.178	17.05	17.178	0.745	0.000
11	19.312	19.27	19.265	0.217	0.243
12	17.271	17.21	17.271	0.353	0.000
13	17.954	18.02	17.954	0.368	0.000
14	19.302	19.27	19.265	0.166	0.192
15	19.895	19.60	19.895	1.483	0.000
16	14.220	14.28	14.220	0.422	0.000
17	16.985	17.28	16.985	1.737	0.000
			Average	0.776	0.151

### 3.5. Numerical optimization using ANN and RSM

The optimal yields of oil from *Garcinia kola* from the numerical optimization using RSM and ANN were 20.839 wt % and 20.488 wt % respectively. These optimal yields of *Garcinia kola* oil were obtained at a solvent volume of 397.86 mL, extraction time of 109.32 minutes and a sample mass of 72.64 g for RSM; and at a solvent volume of 399.9 mL, extraction time of 107.5 minutes and sample mass of 70 g for ANN.

### 3.6. Validation of models

To ascertain the validity of the models developed, confirmatory experiments in triplicate sets were carried out at the obtained optimal parameter values representing the maximum yield of oil from *Garcinia kola*. Experiments conducted at the optimal conditions showed that there was no significant deviation between the actual yield of *Garcinia kola* oil of 20.664 wt% and the predicted yield of 20.839 wt% by RSM model, and this gave an error of 0.847 %. The results obtained from the other set of replication showed that there was no significant deviation in maximum yields of 20.344 % and 20.488 % respectively from the experiment and the ANN model predicted values. This gave an error of 0.708 %. The high positive correlation of the predicted yields and values obtained from actual experiments validated both models.

## 4. CONCLUSIONS

The extraction of oil from *Garcinia kola* was investigated via a three-variable Box-Behnken scheme. RSM and ANN were used to develop statistical models that were subsequently used to investigate the effect of solvent volume, extraction time and mass of ground *Garcinia kola* cake on the yield of oil. Not only did the solvent volume, extraction time and mass of ground *Garcinia kola* have significant effect on the yield of oil, but their interactive effects were also found to have significant effect on the yield of oil. The models developed using both RSM and ANN for the yield of oil from *Garcinia kola* predicted to a considerable degree of reliability the yield of oil from *Garcinia kola* during extraction as the RMSE values were less than 0.2. Optimization of oil yield from *Garcinia kola* using RSM and ANN gave 20.839 wt% and 20.488 wt% respectively. It was noticed, however, that although optimization using RSM gave a higher predicted oil yield than that of ANN, the ANN model gave a better prediction as demonstrated by the very low value of its RMSE.

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